

[1] **Q: Natural Language's only Functional** [2] **Head**¹

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[12] **Abstract**

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[14] Most current versions of Chomskyan syntax take for granted that maximal or
 [15] extended projections of the fundamental lexical categories N, A, V and P contain
 [16] elaborate systems of functional heads and projections (sometimes referred to
 [17] as "the functional sequence") which are also significantly different for each of
 [18] these four categories. This study argues that this approach more than "takes
 [19] to extremes" this proliferation of syntactic categories; I argue here that it is
 [20] fundamentally misguided. All functional modifier categories truly independent
 [21] of lexical categories stem from *the natural language ability to count and/or*
 [22] *quantify*.

[23] Among its other advantages, this hypothesis reveals for the first time the
 [24] close affinity of subject phrases and measure phrases, and moreover provides
 [25] a simple account of differences between English and Japanese regarding
 [26] both ways of counting and agreement vs. non-agreement of predicates with
 [27] subjects.

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[30] **1. Which closed class modifiers are "Functional** [31] **Category Heads"?**

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[34] Strangely, one of the prototypical and widely accepted functional heads,
 [35] the category of Definite morphemes ("D"), fails or is neutral with respect to
 [36] essentially every empirical test for being a head (Emonds 2012, summarized
 [37] here in section 2). Rather, the functional head of nominal phrases is *a universal*

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[41] **1** The first parts of this study revise Emonds (2007), from a locally distributed Japanese
 [42] journal. An expanded version appears in Kawashima, Philippe and Sowley (2008).

[1] *quantifying node Q for counting*, which includes cardinal numerals and plurality.
 [2] Section 3 proposes that this system is formally based on the different ways
 [3] Q can be “valued” as \pm PLUR. Section 4 explores contrasting systems of QP
 [4] projections for nominals, proposing a parameter distinguishing English from
 [5] Japanese.

[6] Sections 5 and 6 then extend this “QP hypothesis” for functional heads to the
 [7] categories AP and PP, showing that degree words and intensifiers are instances
 [8] of Q and that measure phrases are located in SPEC(Q). Finally the QP hypothesis
 [9] also covers VPs (section 7), since number agreement with a subject reduces to
 [10] a default valuing of a Q that would otherwise be empty. Section 8 concludes
 [11] that that recent work on functional categories has simply missed generalizations
 [12] expressible in terms of Q and QP, and has thus seriously overstated the number
 [13] of syntactic primitives.

[14] It is widely accepted that four central lexical categories of language (N, V, A, P)
 [15] serve as “heads” (notated X or X⁰) that project to phrases XP, and that only these
 [16] categories can be “open,” i.e. contain many hundreds of members and accept
 [17] coining by adult native speakers. Throughout this study, XP is equivalently
 [18] written as X'. When I refer to X⁰ and XP together as a class, I write Xⁱ, e.g. both
 [19] types of nominal projections taken together are Nⁱ. Referring to heads and
 [20] phrases of the same type in this way is called the “bar notation.”

[21] Moreover in a given language, lexical heads tend to systematically precede or
 [22] follow their phrasal sisters or “complement” YPs. This property is often *uniform*
 [23] *in a language* across different choices of lexical heads. English for example is
 [24] “head-initial” and Japanese is “head-final.”

[25] In these terms, it is well known that several small closed classes of non-
 [26] phrasal modifiers can be added to these head X. For N we can call them “n”, for
 [27] V we can call them “v”, etc. In head-initial English, the x (=n, v, a, p) are typically
 [28] free morphemes.

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- [30] (1) a. [_{NP} two_n bunches of_n other [_N boys] [_{VP} from the city]
 [31] b. [_{VP} v_v has_v been_v getting_v [_V cut] [_{VP} from a tree]]
 [32] c. [_{AP} {_a real/ _a pretty / _a how _a much more } [_A important] [_{VP} to you]]
 [33] d. [_{PP} p_p down_p over [_p into] [_{VP} that forest]]

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[35] In head-final Japanese, corresponding modifying x are often *bound suffixes*.
 [36] Straightforward examples in Japanese of such grammatical n, so-called
 [37] “classifiers,” and grammatical v (causative, passive, and politeness verbal
 [38] suffixes) are given in Emonds (2007).

[39] Now since n and N are not simply two names for the same thing, what
 [40] differentiates x from X? One clear difference is whether a category has hundreds
 [41] or thousands of members, i.e. is “open,” or has at most perhaps twenty members
 [42] that adult speakers cannot add to, i.e. is “closed”:

- [1] (2) Dictionary Insertion. In a single maximal XP, lexical insertion from *open*
- [2] *classes X* of the Dictionary is limited to the *most internal X⁰ position in XP*.
- [3]
- [4] That is, in a head-initial XP, [_{XP} X₁ - X₂ - ... - X_k -...(YP)...], an open class of lexical
- [5] N can appear only in the N_k position. The other N_i must be closed class modifiers
- [6] n. Research often calls the "small" modifiers (n, v, a, and p) in (1) "functional
- [7] categories," but what is their actual formal status in a system of syntactic
- [8] primitives?
- [9] Van Riemsdijk (1998) convincingly argues for the following hypothesis about
- [10] their categorial nature.²
- [11]
- [12] (3) Categorial Identity Thesis. Each n ∈ N, each v ∈ V, each a ∈ A, each p ∈ P.
- [13]
- [14] Some brief examples of arguments for (3), based on the constructions in (1),
- [15] are as follows. Further arguments for the CIT appear in Emonds (2001).
- [16]
- [17] Each n is an N. *Bunch* and *other* in (1a) have regular N plurals, and *bunch*
- [18] accepts adjectival and numeric modifiers. Quantity n such as *bunch*, *couple*,
- [19] etc. can also function as independent nouns, as can certain Japanese numeric
- [20] classifiers: *dai* 'box', *nen* 'year'.
- [21] Each v is a V. English auxiliary verbs as in (1b) all inflect like verbs. Similarly,
- [22] Japanese verbal suffixes are themselves verbs, since they are regularly followed
- [23] by verbal inflections such as the present tense *-(r)u*: *tabe-ru* 'eats', *tabe-sase-ru*
- [24] 'makes eat', *tabe-rare-ru* 'is eaten', *tabe-mas-u* 'eats' in polite speech.
- [25] Each a is a A. *Real* and *pretty* modify A in (1c), yet are clearly adjectives in their
- [26] own right. Similarly, several contexts reserved for A also accept bare *how*: *How*
- [27] *does he seem?* *How did they treat him?*
- [28] Each p is a P. *Down*, *over*, etc. can be modifiers of P: *down in the street*, *over*
- [29] *toward town*. They can also be independent prepositions: *right down the street*,
- [30] *two miles over the hill*. In other combinations like *from behind the barn*, both *from*
- [31] and *behind* exhibit properties of the category P.
- [32]
- [33] Under van Riemsdijk's CIT, English head-initial structures are thus as in (4).
- [34]
- [35] (4) Functional category structures in head-initial systems:
- [36] [_{XP} X₁-X₂-...-X_k-...(YP)...]
- [37]
- [38]
- [39]
- [40] ² This study takes no position on whether each functional x_i in (1) projects to a separate
- [41] phrasal category xP. Although most studies of functional categories assume that they do,
- [42] there are empirical arguments for flat structures without such xP, such as Kubo (1996) and Emonds (2001).

[1] Then (2) requires that *open class* X_i must be next to the YP sisters that they
 [2] select, and not be separated from them by other X_i .

[3] Though the CIT is appealingly simple, it cannot be the whole story on
 [4] functional categories. For example certain modifiers of adjectives in English
 [5] (*too, as, quite, rather, somewhat*) actually share no properties with adjectives.
 [6] It's similarly unlikely that demonstratives are "nouns" (e.g. Japanese *kono, sono,*
 [7] *ano* or Spanish *este, ese, aquel*). Nor do lower numerals such as 5-19 typically
 [8] exhibit properties of other grammatical N, cross-linguistically. These kinds of
 [9] discrepancies suggest that we must somehow extend or modify the CIT.

[10] I claim nonetheless that the categories conforming to the CIT need only be
 [11] supplemented with a *single additional quantification head* Q. For clarity, I notate
 [12] Q as Q_x in contexts ___XP across values of X.³

[13]
 [14] (5) The Q-extended CIT. Across languages, a single functional category head Q
 [15] can extend all four types of XP to XP_Q .

[16]
 [17] The Q-extended CIT implies that the familiar node DP is to be written as NP_Q or $[N, Q]$
 [18] and that $IP = VP_Q = [V, Q]$. By the same token, APs and PPs containing degree words
 [19] and expressions (or any other closed class modifiers) are to be written as AP_Q and
 [20] PP_Q . The subscript notation on phrases means that both Q and X_i jointly project or
 [21] "percolate" to a containing extended XP. The subscript Q *on a bar notation category*
 [22] X_i thus indicates a feature that can be referred to in stating syntactic principles.

[23] An important property distinguishes "plain XP" from those that project to
 [24] XP_Q . A plain XP can always project to a higher XP by means of an adjunction,
 [25] e.g. of and adverbial PP, though it need not. But an XP_Q that contains a phrasal
 [26] quantification cannot further project. It is thus a "*closed projection*" in the sense
 [27] of Fukui and Speas (1986). We will see below that languages differ as to if and
 [28] which projections must be closed in this sense.

[29] While Q_N is not *limited* to numerals (see note 7), it almost certainly includes
 [30] in any language some numerals for counting items with reference, i.e. nouns.
 [31] English Q is used for all counting, while some Slavic languages (Veselovská
 [32] 2001) use it only for high counting, i.e. $Q_N > 4$. The potential of Q as a counting
 [33] device can be expressed as (6).

[34]
 [35] (6) Universal Counting. The unique functional head Q is the category for
 [36] numerals and can combine with both types of nominal projections N^i .

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 [39] _____
 [40] **3** The lexical category subscripts on Q are just shorthand for the category of their
 [41] sister phrase. Thus, the quantifiers Q_p and Q_x differ formally in the same way as verbs
 [42] subcategorized differently, such as $V, \text{---}PP$ and $V, \text{---}AP$. These subscripts do not affect
 the syntactic identity of the category Q that they appear on.

[1] In the standard use of English numerals to count, $X^j = N'$. English can also
 [2] combine Q_N with N^0 to create "counting compounds": The phrases in bold in (7
 [3] are usually wrongly thought to be a type of measure phrase, i.e. an N' .

[4]

[5] (7) a. a crispy [_N **[N Q fifty] [N dollar(*s)]**] [_N bill]]

[6] those great [_N **[N Q ten] [N day(*s)]**] [_N bus passes]]

[7] b. *a fifty dollar(s) crispy bill

[8] *those ten day(s) great bus passes

[9]

[10] But, as can be seen from their singular form (7a) and their ordering after pre-
 [11] nominal adjectives (7b), they are clearly compound nouns of the form [_N $Q_N + N$]⁴.

[12] Finally, I venture to claim that this basic category Q for counting and
 [13] quantification is absent in animal communication. Its introduction was thus a
 [14] fundamental mutation leading to human symbolic communication. Plausibly,
 [15] the initial possibility of Merging with Q involved the largest, most concrete
 [16] open class, the nouns N or more generally nominal projections N^j , as stated in
 [17] (6). Merge of Q and N^j in essence *created existential quantification*, a necessary
 [18] precondition for counting known in set theory as the Axiom of Choice; counting
 [19] itself then required in addition only some mental version of a successor function
 [20] (Peano 1889). While counting itself may have had little survival value, a mutated
 [21] early human controlling existential quantification could also assert existence in
 [22] the absence of stimuli, the essential characteristic of human language known
 [23] as Displacement (Hockett 1960), whose survival value seems unquestionable.

[24] The formal extension of Q_x and $SPEC(Q_x)$ to other categories, features, and
 [25] their meanings, as in (5), was a further development after this first leap.

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[28] 2. The content and feature values of QN inside [29] Noun Phrases⁵

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[31] I first argue for the Q-extended CIT (5) by establishing its validity for noun
 [32] phrases. That is, I will show that extended projections of N can contain a single
 [33] quantifying functional head above N. Other than Q_N , grammatical modifiers
 [34] closer to N are themselves of category N, as the CIT (3) predicts. Moreover, I
 [35] contest a widely assumed position—but one never actually *argued for*—that

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[39] ⁴ These English [_N $Q_N + N$] never appear as isolated *head Ns* of NP: **I like a crispy fifty*
 [40] *dollar in my pocket*; ⁵*An ample vacation requires a good ten day*. The English setting of
 [41] the "Q-Parameter" in Section 4 predicts this, because it requires that head nouns *further*
 [42] *combine* with Q_N in NP_Q , yielding e.g. *An ample vacation requires a good ten days*.

[42] ⁵ This section summarizes material presented in Emonds (2007).

[1] noun phrases contain additional heads higher than Q such as demonstratives,
[2] definiteness, or other quantifier nodes.

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[5] 2.1. Quantification of Nouns

[6]

[7] A comprehensive generative description of a closed class modifier system for
[8] English noun phrases is laid out in Jackendoff (1977: Ch. 4). According to him,
[9] nouns can be pre-modified by two main independent categories whose most
[10] characteristic elements don't seem like Ns. Here I re-name them D and Q_N ; they
[11] then appear in sequences D – Q_N - N.

[12]

[13] (8) Closed class modifiers for English N

[14] D = { *the*, demonstratives, WH-pronouns, universal quantifiers (*each, every,*
[15] *all, both*), *some, any, no, which, what*}. Possessive NPs also compete for the
[16] unique D position in this system, co-occurring only with *all* and *both*.

[17] Q_N = { numerals, *many, few, much, little, several, a(n)*}.
[18]

[19] According to Jackendoff, a noun in an NP can be modified by only one D and one
[20] Q_N . There are a few idiomatic or otherwise atypical uses of these words that don't
[21] conform to this statement, not further treated here: *every which way, his every step,*
[22] *what the hell, a few steps*, etc. In the other direction, as Jackendoff shows, D and Q
[23] quantifiers with their usual logical meanings typically don't combine in a single NP:
[24] **all few, *any many, *each several, *every many, *some much, *no a(n), *every a(n)*, etc.

[25] I propose to strengthen the categorial dichotomy in (8) by two general
[26] principles for interpreting these categories: (i) The logical role of all Q_N items is
[27] *existential quantification* (this seems straightforward), and (ii) D houses what are
[28] arguably *universal quantifiers*. These correlations with meaning are interesting
[29] consequences of the division in (8), but are *not necessary preconditions* for the
[30] validity of such structure. The second correlation, that *D is uniformly a universal*
[31] *quantifier position* in LF, in fact depends on several non-obvious but intriguing
[32] and quite plausible auxiliary hypotheses.

[33] a. N. Chomsky (class lectures, early 1980s) proposed that a definite article is simply
[34] universal quantification over sets defined within a single universe of discourse.

[35] Their close relatives, the demonstratives, should be analyzable in similar terms.

[36] b. Chomsky also proposed that *any* is a universal quantifier with a special
[37] property of always taking wide scope.⁶
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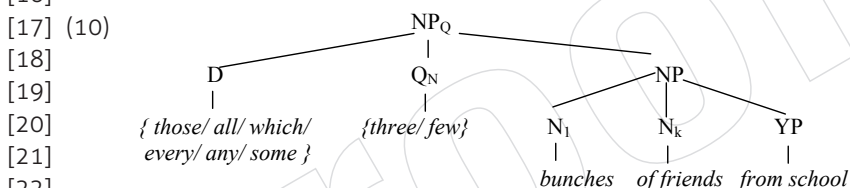
[41]

[42] ⁶ We might treat *no* as a universal quantifier with a wide scope property similar to *any*: "We own no cars" = "For all x, x a car, ¬(we own x)."

[1] c. Finally, *which* is often taken as a WH-counterpart to a definite article; like
 [2] definites it is "Discourse-linked."
 [3] Space prevents developing fuller arguments for these hypotheses, but
 [4] together they strongly suggest the accuracy of the dichotomy in (9).
 [5]
 [6] (9) In LF, (i) Q_N is existential quantification, and (ii) D is universal quantification.

[7]
 [8] The only English D that seems to violate (9ii) is the existential *some*. So
 [9] as to maintain these attractive LF generalizations, I propose that the D *some*
 [10] "alternatively realizes" the existential quantifier category Q_N ; cf. (21) below. This
 [11] means that *some* spells out as an *uninterpreted* D in PF, while its unpronounced
 [12] sister [Q \emptyset] is interpreted, as minimally marked existential quantification.⁷ Then,
 [13] as predicted, no precise LF differences distinguish pairs such as *three X/ some*
 [14] *three X; few X/ some few X*.

[15] The general structure of NP_Q for English I thus hypothesize is then (10).



[24] 2.2. Q_N as the unique functional category head of NP_Q

[25]
 [26] The question immediately posed by (10) is whether D or Q or both are functional
 [27] category heads of NP_Q . For Q, there can be little doubt: Giusti (1991), Ritter
 [28] (1991), Veselovská (2001) and Cardinaletti and Giusti (2006) have established
 [29] that a quantifying and counting head Q, sometimes termed NUM, is indeed
 [30] a functional head F_n above N within noun phrases; Jackendoff's term for this
 [31] category is SPEC(N").⁸

[32] In support of this, the fact that Q exhibits many expected head properties
 [33] listed in (11), which D conspicuously lacks. Contrary to a widespread assumption

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 [37] ⁷ For numerous other examples of alternative realization, e.g., agreements, case-marking,
 [38] Romance clitics, etc. see Emonds (2000: Ch. 4).

[39] ⁸ The English article *a(n)* and quantifiers *many*, *few*, *much*, *little* and *several* are in
 [40] complementary distribution with cardinals and hence should be in the same categorial
 [41] position (Jackendoff 1977: Ch. 4). Ritter's (1991) label is NUM, but Q is preferable because
 [42] Q has uses besides simple counting. Incidentally, since these quantifiers can be further
 modified by Q_A , they must be As in the Q_N position.

[1] in generative studies dating from Abney (1987), the very same tests that
 [2] establish that Q is the head of a functional projection show conclusively that
 [3] D is *not a head*. For more detailed paradigms and arguments for the following
 [4] contrasts, especially (11d-f), see Emonds (2012).

[5]

[6] (11) a. Q has a role in how NPs are *selected*, but D does not.

[7] b. Q has a role as *a head that assigns case to NPs*, but D does not.

[8] c. Q can serve as a *right hand head of Nj'* in Japanese, but D cannot.

[9] d. As complement phrases of a head Q, NPs sometimes *move*. If D were a
 [10] head, Q + NP would be a phrase D' and hence should sometimes move,
 [11] but it never does.

[12] e. NP sisters of Q can in certain cases *be coordinated*, but there is no such
 [13] coordination of putative sisters of D.e.

[14] f. NP sisters of Q can sometimes *undergo ellipsis*, but there are no
 [15] corresponding paradigms for putative complement sisters of D, i.e. no
 [16] ellipted sequences Q+NP.

[17]

[18] I now briefly exemplify each of the five points (11a-e).

[19] Selection (11a). Q plays a role in selection of extended noun phrases; for
 [20] example verbs like *disperse* and *gather* require underlying object NPs with plural
 [21] or collective count noun heads, thus involving a feature of Q. Similarly, Abney
 [22] (1987: 86-88) observes that various Navajo verbs select for singular, dual or
 [23] plural NPs, even though "Navajo does not actually mark any of these distinctions
 [24] (object class or number) in its determiner." Since he does not consider Q as a
 [25] possible head of extended NPs, he declares the Navajo pattern "a curiosity." In
 [26] contrast, "D does not appear to be selected by a matrix head" (Abney 1987: 85).
 [27] For example, no verbs select only definite phrases.

[28] Case Assignment (11b). Like other functional heads (in particular I), Q
 [29] can sometimes assign case, as well as block case-assignment to its sister
 [30] NP by a more distant head. In a number of languages, Qs such as existential
 [31] quantifiers or high numerals assign morphological genitive case to their sister
 [32] NP. *D has no such role in assigning characteristic case within NPs*. Veselovská
 [33] (2001) amply illustrates these properties and the contrasting syntax of D and
 [34] Q in Czech.

[35] Head Placement (11c). in purely head-final Japanese, numerals with classifier
 [36] suffixes can appear in head position of extended NPs, exactly as expected if they
 [37] are functional heads Q_N with a preceding NP complement.

[38]

[39] (12) [PP Teburu-no ue-ni] [QP [NP ookina hon] [Q yon-satsu] ga] aru.

[40] Table-of top-at big book four-CLAS-NOM be

[41] 'There are four big books on the table.'

[42]

- [1] (13) [QP [NP [YP Sono daigaku no] [N gakusei]] [Q san- nin] ga] tsui-ta.
 [2] that university-GEN student three- CLAS-NOM arrive-PAST
 [3] 'Three students of that university arrived.'⁹

[4]

[5] In contrast, the Japanese demonstratives D *kono/ sono/ ano* 'this/ that/
 [6] that' and its WH N-modifier *dono* 'which', have no head-like behavior. Unlike
 [7] uniformly final Japanese heads, *these Ds must be pre-nominal*, and can be
 [8] ordered freely among other adjectival and possessive complements and
 [9] modifiers.

[10] Movement (11d). In general, bare lexical projections such as VP exhibit less
 [11] robust phrasal behavior than full extended projections (IP/ CP). Similarly, the NP
 [12] sisters of Q have some phrasal properties (11d-f) though fewer than do extended
 [13] NP_Q. For example, some constructions can exhibit movement of NP sisters of Q
 [14] (14), though such movements are not so productive.

[15]

[16] (14) a. [_{NP} Flowers for Easter_i] we don't have many of t_i.

[17] b. Not much t_i was eaten [_{NP} of leftover turkey]_i.

[18]

[19] Coordination (11e). Examples (15) contain coordinated NP sisters of Q_N.

[20]

[21] (15) a. We didn't buy [_{QP} many [_{NP} books on culture] or [_{NP} guides for tourists]].

[22] b. [_{QP} Two [_{NP} students of music] and [_{NP} friends of my sister]] live with me.

[23]

[24] The contrasts in (11) thus all favor Q_N over D as a functional head above the
 [25] N lead in nominal phrases. It appears that the place of D in NP_Q is rather in
 [26] its "Specifier," a position almost universally accepted in bar notation studies of
 [27] phrasal projections.

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[29] (16) Specifier Position. A functional head Q_x licenses a SPEC(Q_x) on its left,
 [30] independently of a language's word order.

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[32] There is thus extensive support for the prototypical structure (10) for extended
 [33] NPs in English, Czech and probably many head-initial languages, where D and

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[38] ⁹ This construction contrasts with a second way of counting in Japanese, whereby a
 [39] numeral compound appears as a modifier inside an NP, set off from a final head N by the
 [40] subordinating genitive marker *no*. Thus, the following example is an alternative to (12).

[40] (i) [PP Teburu-no ue-ni] [NP ookina [XP [Q yon-satsu] no] [N hon] ga] aru.

[41] Japanese numeral compounds can appear with nouns in two further positions (Oga 2002);
 [42] they can float off the NP rightward and also leftward (Okuda 2006). In these configurations

[42] Okuda shows they are exterior to NP, even if adjacent to NP.

[1] Q_N are defined as in (8). Jackendoff's "quantifier/ definiteness slot" corresponds
 [2] to SPEC(Q_N), while his "second quantifier slot" corresponds to the head position
 [3] Q_N . I thus conclude that Q_N is an independently justified unique functional head
 [4] above N, analogous to I above V.

[5] The arguments for the structure (10) are more than extensive; they are
 [6] overwhelming. Here are five further considerations which favor Q as a single
 [7] functional head outside NP in extended nominal projections.

[8]

[9] • In Abney's (1987) original cross-linguistic arguments for a functional head
 [10] above N, *number agreement* plays a central role. Since Q is the locus of
 [11] \pm PLUR, on this score alone Q is a more satisfying candidate than D for the
 [12] head of extended NPs.

[13] • Taking Q as the head of extended NPs strengthens the parallels in Abney
 [14] (1987 Ch. 4) between modifiers of As and Ns. He argues that degree words
 [15] DEG (*more, as, too etc.*) are functional heads of APs, whose SPECS can be
 [16] measure phrase NPs. Now since degree words indicate quantity, they are
 [17] more semantically parallel with Q than D.

[18] • Japanese now conforms to UG in having a (final) functional head Q_N above
 [19] N, though this extended projection is optional in Japanese.

[20] • English no longer has unexplained complementary distribution between
 [21] possessive phrases and the functional head of extended NPs; rather we
 [22] observe them together: [_{SPEC(QP)} *John's*] [_Q *three*] *houses*, [_{SPEC(QP)} *today's*] [_Q
 [23] *many*] *lectures*, etc.

[24] • Cross-linguistically it is no longer surprising that demonstratives and
 [25] definite articles are often declined and/or ordered left-to-right like AP
 [26] modifiers of N; in languages where this happens, that is precisely what
 [27] they are.

[28]

[29] A final advantage of structure (10) is that it makes plausible the following
 [30] conjecture that relates syntax and reference:

[31]

[32] (17) The locus of independent reference. All and only *phrasal projections of*
 [33] *nouns* (NP and NP_Q) have independent reference.

[34]

[35] The existential quantifier in a (non-generic) NP_Q makes its "actual reference"
 [36] different from the "virtual reference" of the plain NP it contains, as argued in
 [37] Milner (1978: chapter 1). In [*manyi/ fivei/ plentyi/ dozensi of* [*young boys*]_i .],
 [38] the reference of the contained plain NP and the containing extended NP_Q are
 [39] not the same. In contrast, a universal quantifier in an NP_Q never *changes* the
 [40] reference of the plain NP: (*both*) *those toys*, (*any*) *three toys*, (*all*) *my child's toys*,
 [41] etc.

[42]

[1] **3. ±PLURAL as the principal feature value of Q_N**

[2]

[3] Let's review now the general structure of English NPs in terms of van Riemsdijk's CIT
 [4] (3), my extension of it (5) and the position of Specifiers (16). Since the main function
 [5] of Q_N is for recursive counting, I take its most basic interpretation in LF to be ±PLURAL.

[6]

[7] (18) [_{NP,Q} SPEC(Q_N) (=D) [Q_N, ±PLURAL] [_{NP...} N₁ ... N₂ ... N_k ... (YP)...]]

[8]

[9] In this structure:

[10]

[11] (19) (i) N_k is the open class lexical head;

[12] (ii) any preceding N_i are closed class n such as *couple*, *bunch* and *other*;

[13] (iii) Q_N is the unique and obligatory functional head of the extended NP_Q;

[14] (iv) (only) the exterior NP_Q cannot further project (it is *closed*); and

[15] (v) the functional head Q_N of this larger NP precedes its sister NP by the
 [16] head-initial parameter of English, but follows D by principle (16).

[17]

[18] As noted earlier in (8), English possessive nominals are in *complementary*
 [19] *distribution* with the definite article and demonstratives, as well as with most
 [20] D quantifiers {*some*, *any*, *no*, *each*, *every*, *which*, *what*}.¹⁰ I treat all these items
 [21] as SPEC in schema (18), even though among them only possessives are overtly
 [22] phrasal. This grouping corresponds to the "first SPEC(N_i) position" in Jackendoff's
 [23] nominal structures, which also accounted for this same complementary
 [24] distribution. I notate this frequently phrasal position as SPEC(Q_N). In the theory
 [25] here, the SPEC position can occur only in the presence of Q (across categories).
 [26] If Q is not present, no initial SPEC, phrasal or non-phrasal, is available either.

[27] A salient English paradigm that confirms the obligatory nature of Q_N (19iii) is
 [28] that count nouns cannot appear "bare," i.e. with no realization of either Q or D.

[29]

[30] (20) **Soon book will be cheap.*

[31] **Large house was for sale.*

[32]

[33] I propose to explain this by applying to (18) the idea of Chomsky (2001) that
 [34] grammatical features are "unvalued" at the outset of a syntactic derivation, and
 [35] then must receive interpretable values during a syntactic derivation. From this
 [36] perspective, we can reconceptualize ±PLURAL in (18) as the LF values of Q_N, and
 [37] thereby actually eliminate PLURAL as a separate feature. That is, [Q_N, ±PLURAL]

[38]

[39]

[40]

[41] **10** This complementarity does not hold in many languages whose Ns project to N_Q,
 [42] including Czech. This study does not analyze this discrepancy.

[1] is to be replaced by $\pm Q_N$, i.e. Q_N receives a \pm value from any lexical numeral or
 [2] quantifier inserted under it, as follows. When a lexical N is a count noun, lexical
 [3] singular Q_N such as *a(n)* and *one* provide the value $-Q_N$, while all other lexical Q_N
 [4] (*two, many, several, etc.*) becomes $+Q_N$. A third possibility is that no morpheme
 [5] is inserted directly under Q_N . Then, if nothing else happens, this Q_N remains
 [6] unvalued and the derivation is ill-formed (“crashes”) at LF:

[7] However, another means of valuing a covert English Q_N with count nouns is
 [8] by “Alternative Realization,” a widely applicable syntactic device for closed class
 [9] items whose uses and restrictions are outlined in Emonds (2000: Ch. 4).

[10]

[11] (21) Alternative Realization (AR). A syntactic feature F canonically associated
 [12] in UG with category B can be alternatively realized in a closed class
 [13] morpheme under B^* , provided that projections of B and B^* are sisters.¹¹

[14]

[15] In these terms the traditionally written +PLURAL is simply the positively valued
 [16] canonical feature Q_N . If a head N of Q_N 's sister NP contains a plural suffix, it has the
 [17] form $[_N N - +Q_N]$. AR then applies with $B = Q_N$ in canonical position and $B^* = N$. That
 [18] is, Q_N is valued and because the plural morpheme *alternatively realizes it under NO*.

[19] Q_N can remain covert in this configuration because AR operates in tandem
 [20] with an “Invisible Category Principle,” which licenses empty categories (Emonds
 [21] 2000: Ch. 4).

[22]

[23] (22) Invisible Category Principle (ICP). If all marked canonical features F on B
 [24] are alternatively realized by AR, then B may be empty.

[25]

[26] Thus, if Q_N has no other marked features, i.e. is neither an existential quantifier
 [27] nor a numeral, the plural suffix on N is enough to permit Q_N to be empty: *Soon*
 [28] *books will be cheap; Large houses were for sale.*

[29] There is moreover a second way that AR and the ICP can value a covert Q_N . A
 [30] SPEC morpheme generally *agrees in number* with its Q, so that an overt SPEC(Q_N)
 [31] also alternatively realizes $\pm Q_N$ (= \pm PLURAL). Since these SPECs are sisters of Q_N ,
 [32] they can also license an empty $\pm Q_N$ in its base position: *This [Q \emptyset] book was*
 [33] *cheap; Each [Q \emptyset] large house was for sale.*¹²

[34]

[35]

[36]

[37]

[38] ¹¹ Throughout, one possible projection of a node is simply the node itself.

[39] ¹² English mass nouns do not require an overt N_0 or D. We might account for this by simply
 [40] identifying the descriptive label “mass noun” with an alternative realization of $-Q_N$ as a
 [41] lexical feature on mass nouns. This move would involve extending AR to marked subsets
 [42] of open class items. I leave for future research whether one can do this in a formally
 restricted way. French mass nouns behave more as this study's framework expects, in that
 they must appear with an overt singular $-Q_N$, namely a singular partitive article *du/ de la*.

[1] 4. The Q Parameter: obligatory Q-extended
 [2] projections in English
 [3]
 [4]

[5] This previous section's account of excluded English bare count nouns (20) is
 [6] based on assuming that *all English noun phrases must project to NP_Q*, with a
 [7] functional head Q_N that must be valued as (±PLURAL) in LF. Since NPs include
 [8] those with mass noun heads, gerunds and complex event nominals headed
 [9] by *-ing* (Grimshaw 1990), these heads must be specified as $-Q_N$. This forced
 [10] projection of NP to NP_Q is a language-specific property, formulated here in a way
 [11] similar to an earlier proposal of Fukui and Speas (1986):
 [12]

[13] (23) Q-Parameter. Maximal NP (=N¹) in English must be *closed* by a Merge with
 [14] a Q_N head. NPs in Japanese *need not be closed* by merging with Q_N.
 [15]

[16] The Japanese setting of this Parameter is motivated by the fact that *all its*
 [17] *open class nouns can be in bare NPs*, i.e. its plain NPs need not project to NP_Q.

[18] A further condition, which remains a stipulation here, applies to phrases in SPEC:
 [19]

[20] (24) SPEC Categories. Phrasal categories in SPEC(Q) positions must be nominal,
 [21] i.e. Nⁱ.
 [22]

[23] Since the category Q_N can receive its LF feature values from either the lexical item
 [24] it houses or (by AR) from the head of its sister phrase NP, material in the SPEC(Q_N)
 [25] position need not interact with Q_N. Consequently, as many studies remark, a "genitive"
 [26] NP in SPEC(Q_N) *can stand in any pragmatic or argument relation to the head of NP*. In
 [27] particular, if the definition of a subject of a phrase X' picks out the lowest NP_Q (= "DP")
 [28] which c-commands X', then possessive a noun phrase in the SPEC(Q_N) position can
 [29] even be *the subject/ external argument* of any lexical head X⁰ of NP.

[30] Now according to the Q-Parameter (23), Japanese NPs can and most often
 [31] do lack a Q_N sister to NP; its NPs need not be "closed." As a result, such NPs
 [32] have no SPEC(Q_N) position. At the same time, since Japanese NPs are "open" and
 [33] head-final a head NP can merge (repeatedly) with adjoined non-head NPs on
 [34] its left, which can then satisfy the definition of subject/ external argument or a
 [35] possessor for an N head. In fact, as is well known, several NP+ *no*, not contained
 [36] in each other, can modify a single Japanese N.
 [37]

[38] (25) Japanese NP with multiple internal subjects/ possessors:

[39] a. [_{NP} *Daijobu* - *no* [_{NP} *Taro* - *no* [_{NP} *Kobe* - *no* [_{NP} *shimbun*]]]]]

[40] Saturday's Taro's Kobe's newspaper

[41] 'Taro's Kobe newspaper of Saturday'

[42] b. [_{NP} NP_{poss} - *no* [_{NP} NP_{poss} - *no* [_{NP} NP_{poss} - *no* [_{NP} ... (YP) ... - N_k - ... - N₁]]]]]

[1] Notice that these multiple possessors are quite unlike the recursive possessive
[2] NPs in English. In Japanese, each NP_{poss} directly modifies the highest head N,
[3] whereas in English, a first possessive N must modify the next (as in *John's father's*
[4] *newspaper's headlines*) rather than the highest head N.
[5] Since these exterior NPs are not in any structural relation with a functional
[6] head Q_N (in this respect there is no difference from English), any of them can
[7] either serve as a subject or take on any thematic or pragmatically sanctioned
[8] role relative to the lexical N head of NP.

[10]
[11] 5. Q in the context ___AP

[12]
[13]
[14]
[15] 5.1. Degree Words and Measure Phrases

[16]
[17] Bresnan (1973) and Jackendoff (1977: Ch. 5) isolate a class of largely mutually
[18] exclusive adjectival modifiers, often called degree words (DEG). I propose that
[19] this class instantiates Q in the context ___AP and so should be notated Q_A.¹³

[20]
[21] (26) Q_A = *very, so, quite, rather, somewhat, this, that, more, most, less, least, as, too,*
[22] *how.*

[23]
[24] Since multiple members of Q_A generally cannot co-occur, as seen in (27), it
[25] appears that the underlined Q_A must select APs lacking Q. That is, just like Q_N, a
[26] single QA functions to close AP projections.

- [27]
[28] (27) a. These chairs are how old?/ so old.
[29] *These chairs are how so/ so how old?
[30] b. We want a less/ quite bright room.
[31] *We want a less quite/ quite less bright room.
[32] c. Is she rather/ that clever?
[33] *Is she rather that/ that rather clever?
[34] d. We consider John very/ too arrogant.
[35] *We consider John very too / too very arrogant.

[36]
[37] Since adjectives are "properties" rather than "things," a Q_A as in (26) can't
[38] measure quantity with integers, but only in terms of stronger, weaker, equal or

[39]
[40]
[41]
[42] ¹³ Another candidate for Q_A is *enough*, which in Germanic languages surfaces *after* A.

[1] deictic degrees. But the counting potential of Q_A emerges clearly with *more*, *less*,
 [2] *as*, *that* and *too*. These Q_A license measure phrase NPs in the context $__Q_A$ -AP
 [3] (Neeleman, van de Koot and Doetjes 2004).

[4]
 [5] (28) [_{AP} [_{NP} three times/ a bit] [_Q more/ less] [_{AP} [_A clever] [_{VP} in math] [_{ZP} than you]]]
 [6] [_{AP} [_{NP} two days/ a good deal] [_Q too] [_{AP} [_A short]]]
 [7] [_{AP} [_{NP} three times] [_Q as/ that] [_{AP} [_A far/ long/ old/ expensive]]]
 [8]

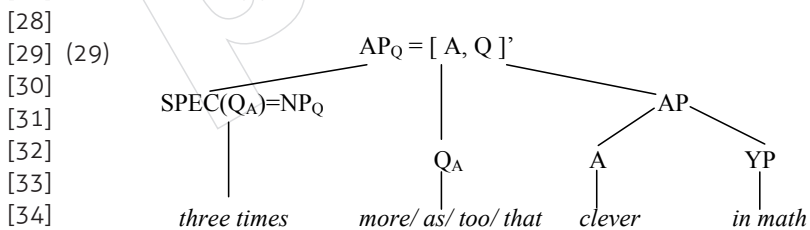
[9] Adjective phrases apparently conform perfectly to the earlier statements (16)
 [10] and (24):

[11]
 [12] (16) Specifier Position. A functional head QX licenses a SPEC(QX) on its left,
 [13] independently of a language's word order.

[14]
 [15] (24) SPEC Categories. Phrasal categories in SPEC(Q) positions must be
 [16] nominal, i.e. Nj.

[17]
 [18] In the light of a second use of NPs in SPEC(Q) as measure phrases, a possible
 [19] explanation of (24) may follow from a relation between quantities expressed in
 [20] Q and their "measure" in an NP_Q in SPEC. That is, SPEC's fundamental role is to
 [21] further specify number and/or quantity, which is a characteristic meaning of NP
 [22] with a Q head.

[23] A tree for an English quantified (measure) AP is thus as in (29). As with Q_{Nr} , the
 [24] structure is flat, as there is no motivation for grouping Q_A with AP; both AP and Q_A
 [25] project as features to a closed extended projection AP_Q. And as with NP_Q, I claim
 [26] that no further functional head is needed for APs, again in conformity with the
 [27] Q-extended CIT (5).



[36] The structure (29) replicates the structure inside English NPs; compare (29)
 [37] with (10). Here, however, the only LF role of the (again optional) NP in SPEC(Q_A)
 [38] is to associate certain Q_A with some discrete, counted measure, which inherent
 [39] features of Q_A in the context $__AP$ can't provide. The difference between the
 [40] two subtypes of Q categories is that the measure for discrete nouns is *inherent*
 [41] in Q_N 's own content, i.e. the numerals, existential quantifiers, and \pm PLURAL. In
 [42] contrast, a discrete "measure" for Q_A is *external* to it, in SPEC(Q_A).

[1] Returning briefly to NPs, there is in fact a little noticed complementary
[2] distribution between subject phrases and measure phrases, which testifies to
[3] their identical single structural position SPEC(Q_N).

- [4]
[5] (30) a. My mother didn't like preparing for my father's (one) vacation.
[6] My mother didn't like preparing for several days more vacation.
[7] *My mother didn't like preparing for my father's several days more
[8] vacation.
[9] b. This grant provides two hundred dollars more salary every month.
[10] This grant provides that assistant's salary every month.
[11] *This grant provides that assistant's two hundred dollars more salary
[12] every month.

[13]
[14] It is only because Q_N needs no external specification that SPEC(Q_N) is free
[15] to house NPs with *any pragmatic relation to the head N*, the notoriously varied
[16] semantics of "possessive" NPs. The NPs in SPEC(Q_A) have no such freedom; they
[17] can serve only as "measure phrases." Previous analyses have failed to identify
[18] *measure phrases inside APs with possessive NPs inside NPs*, even though in
[19] English both types must be unique, and both must be NPs; see again (30). Thus,
[20] the grammatical source of the much studied possessives is in "less frequent"
[21] measure phrases, which are in turn nothing but an extension of the primitive
[22] linguistic ability to count.¹⁴

[23]

[24]

[25] 5.2. Measure Phrases without Degree Words

[26]

[27] A small closed class of English adjectives (*long, high, tall, deep, wide, old, long,*
[28] *square*) allow measure NPs in SPEC(Q_A) even in *the absence of an overt Q_A*.

[29]

- [30] (31) These chairs are ten years [_Q ∅] { old/ *obsolete/ *creaky }.
[31] The path seemed many miles [_Q ∅] { long/ *lengthy/ *rocky }.
[32] His hedge got three feet [_Q ∅] { wide/ *broad/ *overgrown }.

[33]

[34] These NP, naturally enough, cannot occur with any overt Qs that disallow
[35] measure phrases.

[36]

[37]

[38]

[39]

[40] **14** In general, less frequent grammatical variants of a construction reveal more than more
[41] frequent variants. Along such lines, less frequent dependent clauses better indicate
[42] underlying word order than main clauses; negated sentences reveal more about deep
grammar than positive clauses, etc.

- [1] (32) *These chairs are ten years [_Q very] old.
 [2] *The path seemed many miles [_Q so] long.
 [3] *His hedge got two meters [_Q somewhat] wide.
 [4]
 [5] Since these adjectives form a closed class, I postulate a syntactic feature F^m
 [6] common to those Q_A (*more, as, too*, etc.) that permit measure phrases in SPEC(Q_A);
 [7] the As in (31) then alternatively realize this feature. As a result, their (English)
 [8] lexical entries and the ICP (22) together allow their Q_A to be empty. Essentially,
 [9] F^m = "compatible with discrete measures."¹⁵
 [10] In summary, NPs in a SPEC(Q_A) position quantify properties expressed in APs
 [11] as greater or less, or as excessive or not. Only certain overt Q_A permit these
 [12] phrases, even though they are also permitted by a few head adjectives in English
 [13] that license an empty Q_A.

[16] 6. Q in the context ___PP

- [18] Consider PPs of space and time, whose P express these notions. Since one can't
 [19] "count" a spatial or temporal span without discrete units of measure, English
 [20] "intensifiers" of P such as the overt Q_p *right* are incompatible with any measure
 [21] phrase in SPEC(Q_p).¹⁶

- [23] (33) John put his books [_{PP(Q)} (*six inches) [_Q right] [_{PP} behind the door]].
 [24] The doorbell rang [_{PP(Q)} (*a few seconds) [_Q right] [_{PP} after six]].
 [25] It was [_{PP(Q)} (a few seconds) [_Q right] [_{PP} after noon]] that they arrived.
 [26] Jim kicked the ball [_{PP(Q)} (*30 meters) [_Q clear] [_{PP} across the field]].
 [27] You'll find some restaurants [_{PP(Q)} (*a few blocks) [_Q straight] [_{PP} down this
 [28] road]].

- [30] Just as in the contexts ___AP, a preceding NP provides Q with a discretely
 [31] measured value for many P: *before, after, above, below, behind, inside, away, back*,
 [32] etc. Yet again because these P still form a closed class, they are susceptible to AR
 [33] (21). Like the English As that license measure phrases (31), these P apparently
 [34] also alternatively realize the syntactic F^m of a Q_p that licenses such phrases, as in
 [35] (34). Then as a result of the ICP (22), Q_p is empty.

- [39] _____
 [40] ¹⁵ A language-particular treatment of (32) seems appropriate, as their exact French
 [41] translations are ungrammatical: **Ces chaises sont dix ans vieilles*; **Le sentier semblait*
 [42] *plusieurs kilomètres long*.
¹⁶ Jackendoff (1977: Ch. 5) notes that measure phrases in these PPs don't occur with *right*.

- [1] (34) John put his books [_{PP} [_{NP, +PL} three feet] [<sub>Q_F^m ∅] [_{PP} behind the door]].
 [2] Her ball landed [_{PP} [_{NP, +PL} a few paces] [<sub>Q_F^m ∅] [_{PP} (away) from mine]].
 [3] It was [_{PP} [_{NP, -PL} an hour] [_{Q_F^m ∅] [_{PP} after midnight]] that they arrived.}</sub></sub>

[4]
 [5] Most English adjectives disallow a combination of an empty Q_A and a measure
 [6] phrase, as seen in (31); so also many Ps are incompatible with the configuration
 [7] in (34).
 [8]

- [9] (35) John put his books [_{PP} (*a few inches) at the door].
 [10] Her ball landed [_{PP} (*two steps)] with mine.
 [11] It was [_{PP} (*an hour) until the party] that they were singing.

[12]
 [13] Thus, the use of SPEC for measure phrases in PPs parallels that in APs. What
 [14] differentiates the two is that no overt English Q_P take a measure phrase, unlike
 [15] Q_A such as *more*, *as* and *too*.
 [16]

[17]
 [18] 7. Q_V in English clauses: where “subject
 [19] agreement” comes from
 [20]

[21]
 [22]
 [23] 7.1. The parallel structure of English Noun Phrases and
 [24] Clauses
 [25]

[26]
 [27] Suppose by parsimony that English clause structure (36) parallels that of NP as
 [28] in (18).
 [29]

- [30] (18) [_{NP,Q} SPEC(QN) (=D) [QN, ±PLURAL] [_{NP...} N1 ... N2 ... N_k ... (YP)...]]
 [31] (36) [**VP, Q SPEC(QV)** [QV, ±PLURAL] [_{VP...} V₁ ... V₂ ... V_k ... (YP)...]]
 [32]

[33] The bolded VP_Q, SPEC(Q_V) and Q_V correspond respectively to what Chomsky
 [34] (1986) calls IP, SPEC(IP) and I. So let's partly rewrite (36) with more familiar
 [35] symbols as (37), though if the parallel in (18) and (36) is “real,” these special
 [36] symbols should play no formal role.
 [37]

- [38] (37) Clause structure: [_{IP} SPEC(IP) [_I Q_V ±PL] [_{VP...} V₁ ... V₂ ... V_k ... (YP)...]]
 [39]

[40] As with the NP structure, there are no empirical reasons for grouping together
 [41] Q_V (= I) + VP as a constituent I'. The only justification ever given for such an I' is
 [42] based on parenthetical adverbials after a subject NP:

- [1] (38) Mary, within a month, should enroll for school.
 [2] This process, I've learned, is a new way to make ice cream.
 [3] Smoking upstairs, to my knowledge, doesn't bother Bill much.
 [4]
 [5] Is there any alternative to an I' constituent for the post-parenthetical
 [6] sequences in (38)? In fact, it appears that subjects in SPEC(IP) come to precede
 [7] these parentheticals by raising *leftward* around them, apparently to a focus
 [8] position, as in (39).
 [9]
- [10] (39) Mary_i, within a month, [_{IP} t_i should enroll for school].
 [11] This process_i, I've learned, [_{IP} t_i is a new way to make ice cream].
 [12] Smoking upstairs_i, to my knowledge, [_{IP} t_i doesn't bother Bill much].
 [13]
 [14] Moreover, we know independently that expletive subjects cannot move into
 [15] focus position (i.e. serve as new information), as seen in (40a). So if expletive
 [16] subjects replace the full NP subjects in (39), the results are equally ungrammatical
 [17] (40b). It follows that the pre-parenthetical NPs are in a focus position, outside
 [18] of IP, and so cannot be used to argue for the existence of an I' separate from IP.
 [19]
- [20] (40) a. *There_i Bill believed t_i to be no reason for a meeting.
 [21] *It_i Sue didn't think t_i bothered Bill much to smoke upstairs.
 [22] b. *There_i, I've learned, t_i is a new way to make ice cream.
 [23] *It_i, to my knowledge, t_i doesn't bother Bill much to smoke upstairs.
 [24]
 [25] Since an analysis with I' is unable to account for examples like (40b), we are
 [26] free to retain the structure in (37) in which *I and VP do not form a constituent*.
 [27] Let's now see how the QP_V structure for clauses relates to a description of
 [28] Japanese. I introduced in Section 4 a Q-Parameter (23), according which Japanese
 [29] NPs need not be "closed" by a Merge with Q. If we extend (23) to Japanese and
 [30] English clauses, it then follows that Japanese VPs can be "bare," i.e. not project
 [31] to an IP. That is, the structure (37) is *not obligatory* for Japanese clauses.
 [32]
- [33] (41) Generalized O-Parameter. Maximal NP and VP in English *must be closed*
 [34] by a Merge with a Q head. Japanese NPs and VPs *need not* be closed by a
 [35] Merge with Q.
 [36]
 [37] This formulation is in fact formally equivalent to the central parameter
 [38] distinguishing English and Japanese made explicit in the title of Kuroda (1992):
 [39] "Whether We Agree or Not: A Comparative Syntax of English and Japanese."
 [40] However, though he discusses many insightful ramifications of his hypothesis,
 [41] he does not extend his parameter to the structure of nominal phases, as under
 [42] the QP Hypothesis; he treats only differences in clausal structure.

[1] I do however have reservations about the way Kuroda uses “optional
 [2] agreement” to analyze Japanese case alternations. These differences go well
 [3] beyond the scope of this paper. In short, my view is rather that, since Japanese
 [4] does not need to project its VPs to IPs, it is more economical not to, and so
 [5] perhaps it never does; in this case there simply are no IP structures in Japanese.
 [6] Its finite clauses are then actually traditionally termed VPs with subjects in
 [7] (possibly multiple) adjoined positions, as in Fukui and Speas (1986).¹⁷

[8]

[9]

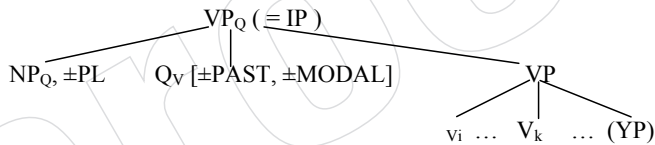
[10] **7.2. Valuing and interpreting $Q_V (=I)$ in syntax**

[11]

[12] The inherent features of $Q_V (=I)$ in (37) are those of tense and modals. This
 [13] yields an English clausal structure as in (42). This tree is the familiar structure
 [14] of finite clauses, but replaces terms such as I, INFL and Tense with the general
 [15] and (I propose) *only* functional category Q modifying VP. V_i represents possible
 [16] grammatical verbs *v* such as *be*, *have* and causatives, while V_k is the open class
 [17] lexical head.

[18]

[19] (42)



[21]

[22]

[23]

[24]

[25] All English IPs that are finite have the obligatorily overt structural subject
 [26] NP shown in (42); their head I either is a Modal or agrees in number with this
 [27] subject.¹⁸ This specification for number suggests that $Q_V (= I)$ is in fact a sort
 [28] of “default quantification” over V, in that it provides Q_V with ±PLURAL values in
 [29] case lexical members of this category, e.g. Modals, are absent.¹⁹ Just as with Q_N
 [30] (modifying count nouns) that are unvalued by a lexical numeral or quantifier,
 [31] Q_V can receive its value by Alternative Realization, whose definition I repeat for
 [32] convenience.

[33]

[34]

[35]

[36] **17** Since Japanese subjects are adjoined to VP rather than located in $SPEC(Q_V)$, they can
 [37] sometimes be PPs, with the Ps *de* ‘at’ or *kara* ‘from’, an analysis argued for on independent
 grounds in Inoue (1998).

[38] **18** More accurately, subjects of a finite verb must be overt *or* a trace of a subject fronted
 [39] to a clause’s left periphery.

[40] **19** Gerunds and participles lack both Modals and agreement because they are not IPs
 [41] to start with: participles have A heads (Emonds 2000: chs. 5 and 7), and gerunds have N
 [42] heads (section 4.7); nor do “bare VPs” in causative constructions project to separate IPs
 (ch. 6). For reasons of space, this study cannot analyze the lack of agreement on infinitives.

[1] (21) Alternative Realization (AR). A syntactic feature F canonically associated
 [2] in UG with category B can be alternatively realized in a closed class
 [3] morpheme under B*, provided that projections of B and B* are sisters.

[4]
 [5] Here F is Q, B is the Q_N head of a subject phrase and B* = Q_V. Formally, Q_V must
 [6] be valued in a well-formed derivation that leads to an interpretable LF. Like Q_N,
 [7] this value, which includes but is not limited to ±PLURAL, can be provided by
 [8] a lexical item in Q_V, i.e. a Modal. But when Q_V dominates no such item, it can
 [9] alternatively realize ±PLURAL located on one of Q's sisters in (42), either VP or
 [10] SPEC(Q_V). Since VP has no Q feature, the only possible source for valuing Q_V is
 [11] the ±PLURAL of a subject NP_Q. In more familiar terms, the category I *must* agree
 [12] in number with an NP in SPEC(IP).

[13] This analysis derives from Chomsky's (2001) conception of using syntactic
 [14] derivations to value features, and thus implies that number agreement plays
 [15] a role at LF. This conception overturns a long-standing assumption that English
 [16] subject-verb agreement is "meaningless," i.e. adds nothing to the simple
 [17] specification of NPs as singular or plural. It also departs from my own previous
 [18] working assumption, namely that alternatively realized features contribute to
 [19] LF only by licensing features in their canonical positions.²⁰ In addition to these
 [20] matters, a reader might hesitate to relate "plural verbs" so closely to the rather
 [21] more concrete counting system of numerals.

[22] Nonetheless, though syntactic categories invariably have a concrete cognitive
 [23] basis, they are often used to express concepts not included in these original
 [24] bases. For example, the category N is certainly based on naming material
 [25] objects. Yet open class items such as *flaw, vacuum, ubiquity, ether, immortality,*
 [26] *existence* don't refer to observable or even material entities. They are "things"
 [27] only circularly, in that they are grammatically Ns. Similarly, though P's basic
 [28] function is to locate in space and time, "marked" P like *of, without, despite*, most
 [29] uses of *for* etc. don't do this. It is typical of natural language to *formally extend*
 [30] *use of a syntactic category beyond its cognitive basis*. In this sense, the category I
 [31] (= Q_V) simply extends counting and quantification into verbal domains.

[32] What then can be the semantics of verbs being "quantified" as ±PLUR?
 [33] Traditional grammar remarks only that a plural verb doesn't mean a plurality of
 [34] *successive* events. That is, any predication, in English at least, is true if its verb
 [35] holds of a subject *at a given time*, namely that of the verb's Tense. However,
 [36]

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[39] **20** There are other constructions where alternatively realized features can make
 [40] independent, if secondary, contributions to LF. In work in progress, I argue that LF
 [41] representations of certain complex Tenses such as the English perfect must use together
 [42] two values of Tense in one clause, one in its canonical (V) and one in its alternatively
 realized (I) position.

[1] +PLUR on V does imply a *plurality of simultaneous events/ states*: those with
[2] different subjects. That is, the unmarked interpretation of the agreement on Q_v
[3] is a counting of *simultaneous events* (or states).²¹

[4]

[5] (43) The boys were eating ice cream. (several "eatings")

[6] The boys resemble their father. (several "resemblances")

[7]

[8] In this section's analysis, number agreement with the subject NP_o (the AR of
[9] the latter's number feature) is a *default means* for valuing Q_v ; what is obligatory
[10] is not agreement itself but the valuing of Q_v as \pm PLUR. This leaves open the
[11] possibility that both [Q_v , +PLUR] and [Q_v , -PLUR] might be specified independently
[12] of the value of PLUR on the subject phrase. Such marked constructions indeed
[13] exist, and support the analysis here over a more traditional variant in which
[14] number agreement is simply obligatory.

[15] (i) In British English, when a subject is a collective noun (*government, army,*
[16] *team*), Q_v can be independently specified as +PLUR, which seems to mean that
[17] the members of the group act severally but in concert.

[18]

[19] (44) a. This government is/ *are known for its austerity program. (Normal
[20] agreement)

[21] b. The government are planning reforms. (The government is a group acting
[22] together)

[23]

[24] (ii) Q_v can have an independent singular form, which then imposes on the NP
[25] in SPEC an interpretation as a single event, regardless of the latter's inherent
[26] number.

[27]

[28] (45) a. *Normal agreements:*

[29] Too many boys make a bad party.

[30] Sienna's neighborhood flags waving in the wind were a colorful sight.

[31] Being late and not being apologetic are not considered polite.

[32] b. *When QV is inherently marked -PLUR, the subject NP is taken as a single event:*

[33] Too many boys makes a bad party.

[34] Sienna's neighborhood flags waving in the wind was a colorful sight.

[35] Being late and not being apologetic is not considered polite.

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[41] ²¹ With "symmetric predicates" (*we married; the boys met*), plural "simultaneous events"
[42] are indispensable, and so might be pragmatically viewed as one event. But even here, two
people marrying each obtain new legal status, so two legal events must have transpired.

- [1] Of course, many and perhaps most plural NPs are simply incompatible with
 [2] "single event" interpretations, as seen in (46).
 [3]
 [4] (46) Three severe storms were/ *was due to global warming.
 [5] Student answers on this test have/ *has provided entertainment.
 [6]
 [7] Again, mechanical agreement itself is *not* obligatory. What is obligatory prior
 [8] to LF is only the *valuing of QV as ±PLUR*, which in some contexts such as (44b)
 [9] and (45b) can occur independently and not as a default.
- [10] As noted above, these extensions of a feature ±PLUR, beyond its original
 [11] cognitive basis with N, are typical in formal syntax. Even though Q_v is *not* used
 [12] for quantifying temporal duration of an event or state, nor for counting their
 [13] repetitions, a Q_v expressed as agreement nonetheless does actually seem to
 [14] count.
- [15] Recall finally that in the closed projections PP_Q and AP_Q , the interpretation of
 [16] Q can be further specified by a preceding measure phrase NP. We can extend
 [17] this condition to VP_Q :
 [18]
 [19] (47) Valuing Q. Whenever Q_x lacks inherently specified numeric features, i.e.,
 [20] when $Q \neq Q_N$, it can receive a default LF interpretation by being specified
 [21] for quantity by an NP in $SPEC(Q_x)$.
 [22]
- [23] That is, *a subject NP of an agreeing verb acts structurally as a measure phrase*
 [24] that supplies a quantitative interpretation of Q_v , and thus satisfies a general
 [25] requirement in Chomsky (2001) that syntactic derivations must value features.²²
 [26] This study's approach to functional categories has thus predicted number
 [27] agreement of finite verbs with subjects for any languages which have the English
 [28] setting for the Generalized Q-Parameter (41), whose NPs and VPs must Merge
 [29] with Q. (41) moreover reveals why subject agreement is so central in syntax; it
 [30] signals that a closed VP_Q rather than an open VP is structurally present.
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- [36] **22** This conclusion sheds some light on a puzzling asymmetry in Jackendoff (1977: Ch. 5).
 [37] English measure phrases optionally precede all open class heads except Vs. In order to
 [38] quantify an activity of a V over time, one must use a *post-head* adverbial phrase:
 [39] a. **She may several hours talk about it.* *She may talk about it (for) several hours.*
 [40] b. **We two miles followed that car.* *We followed that car (for) two miles.*
 [41] Now the Q-extended CIT (5) in fact is compatible with an English I (= Q_v) specifying some
 [42] measure. But this measure apparently counts *only simultaneous* events or states specified
 [43] by the predication NP+VP, i.e. $SPEC(Q_v)$ +VP. Consequently, there is no way for Q_v or $SPEC(Q_v)$
 [44] to indicate any other kind of measure for V, either over time or space.

[1] 8. How many categories are there in syntax?

[2]

[3] This paper has widened the use of QP, via the Q-extended CIT (5), to English
 [4] APs, PPs and VPs. In particular, measure phrase NPs have turned out to be
 [5] counterparts in APs and PPs to subject NPs in IP and possessive NPs inside larger
 [6] NPs (sections 5-7).

[7] Though the inclusion of VP projections under (5) in section 7 is far from
 [8] obvious, it allows the Q-extended CIT to subsume an ingenious idea of Kuroda
 [9] (1992), whereby the functional head I above VP is crucially identified with
 [10] subject-verb agreement in English and an absence of agreement in Japanese.
 [11] That is, agreement's crucial component is the \pm PLUR number on I, i.e. the syntax-
 [12] assigned values $\pm Q_N$. In this perspective, English IPs should be considered to be
 [13] VP_Q , which Japanese then lacks, as argued in both Fukui and Speas (1986) and
 [14] Kuroda (1992). To express this difference, I have generalized a Q Parameter (23)
 [15] for noun phrases to verb phrases as in (41):

[16]

[17] (41) Generalized Q-Parameter. Maximal NP and VP in English *must be closed*
 [18] *by a Merge with a Q head. Japanese NPs and VPs need not be closed by a*
 [19] *Merge with Q.*

[20]

[21] The basis of the Q-extended CIT (5) is that in English *a QV must be valued in*
 [22] *LF, and as a default quantified, even though a predicate V or VP cannot be.* Unlike
 [23] in other projections X^i , neither V^i itself nor a measure phrase in $SPEC(Q_V)$ can
 [24] separately provide a value to Q_V . The only way an unvalued feature Q_V can satisfy
 [25] the requirement that all LF features be valued is via a constituent whose Q is
 [26] *already valued*, i.e. by agreement with the $\pm Q$ on an NP_Q in $SPEC(Q_V)$. These NP_Q
 [27] of course structurally correspond to the familiar subject NPs in $SPEC(IP)$. An
 [28] agreeing I thus turns out to be nothing other than a Q_V formally receiving its
 [29] value from a Q_N in subject position.

[30] All functional categories that are not lexical categories in disguise (i.e.,
 [31] functional categories of "small x" for $x = n, v, a, p$ which obey van Riemsdijk's CIT)
 [32] thus reduce to a single functional head Q. And in light of the following additional
 [33] considerations, there is no need for a significantly larger category inventory in
 [34] syntax than that just reviewed.

[35]

[36] (i) What are usually called D or DET are single words dominated by $SPEC(Q_N)$.

[37] (ii) The only *productive* category of adverbs are heads that are of category A.

[38] (iii) C (= COMP) reduces to P (Emonds 1985: Ch. 7).

[39]

[40] This reduced set of head categories, namely {N, V, A, P, Q}, recalls the
 [41] categorical parsimony of generative semantics, whose advocates wished to
 [42] reduce the set of syntactic categories to a small group of basic categories of

[1] logic. In my view, they rightly claimed that syntax needs only a reduced set of
 [2] categories, comparable to those in some kind of “natural language logic,” i.e.
 [3] what is called today LF. However, generative semantics prematurely substituted
 [4] categories found in modern symbolic logic for those of empirically justified
 [5] Logical Forms for natural language. Consequently, this approach emphasized
 [6] items expressing predicates (V), reference (N) and quantification (Q). But since
 [7] place and time are extraneous in symbolic logic, it wrongly ignored critical roles
 [8] of PP structures.

[9] Since symbolic logic was nothing but Bertrand Russell’s simplified, intuited
 [10] version of LF, it was a circular exercise to hypothesize a natural language LF
 [11] dependent on symbolic logic. Rather, natural language logic and its categories
 [12] must be *newly discovered* on the basis of syntactic research, using the method
 [13] (Chomsky 1957) of contrasting acceptabilities for similar syntactic sequences.
 [14] We then find that natural languages *distinguish* (do not conflate) four kinds of
 [15] categories N, A, V and P, which both take arguments (a property of symbolic logic
 [16] predicates) and at the same time can all be constants and variables in larger
 [17] propositions. These are supplemented by a single category Q which is first and
 [18] foremost used to *count Ns*, and second to *existentially quantify* them, and then
 [19] to measure properties (A) and locations and times (P). Finally, the role of Q in
 [20] V projections, as a source and carrier of agreement, becomes almost totally
 [21] formal.²³

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[41] **23** From an evolutionary perspective, this parsimonious scenario greatly improves on
 [42] systems that proliferate functional categories.

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