

Truncation in Message-Oriented Phonology: A case study using Korean vocative truncation*

Abstract

This paper analyzes the vocative truncation pattern in Korean from the viewpoint of Message-Oriented Phonology (MOP: Hall et al. 2016), which capitalizes on the idea that sound patterns are governed by a principle that makes message transfer effective. In the traditional naming pattern, Korean first names consist of a generation marker and a unique portion, and the order between these two elements alternates between generations. To derive vocative forms, the generation marker is truncated, and the suffixal [(j)a] is attached to the unique portion. We argue that MOP naturally predicts this type of truncation. As the generation marker is shared by all the members of the same generation, the generation marker is highly predictable and hence does not reduce uncertainty about the intended message. To achieve effective communication, predictable portions are deleted. To the extent that our analysis is on the right track, it implies that MOP is relevant not only to phonetic implementation patterns, but also to (morpho-)phonological patterns. It also provides support to MOP based on data from a non-Indo-European language. Finally, we aim to integrate insights of MOP with a more formal proposal like Optimality Theory (Prince & Smolensky, 2004), by relating the predictability of a contrast to the ranking of the faithfulness constraint that it protects, following the spirit of the P-map hypothesis (Steriade, 2001/2008).

1 Introduction

This paper has four aims. The first one is to discuss the pattern of Korean vocative truncation, which, as far as we know, has not been discussed in the phonological literature, thereby

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21 providing a new empirical set of data to the field. Second, the theoretical impetus of the cur-
22 rent work is Message-Oriented Phonology (henceforth, MOP: Hall et al. 2016), which capitalizes
23 on the idea that sound patterns are governed by a principle that makes the message transfer—
24 i.e., communication—effective. In MOP, effective communication is defined as “systems [which]
25 trade-off the probability of accurate message transmission and resource cost, adding redundancy
26 when message uncertainty is higher, and reducing it when uncertainty is lower” (Hall et al. 2016,
27 p. 60). While the general theses of MOP, as presented in Hall et al. (2016), seem largely convinc-
28 ing to us, it is not clear whether MOP is solely intended to account for phonetic implementation
29 patterns, or whether it is applicable to (morpho)phonological patterns as well.¹ We argue that MOP
30 offers a straightforward account of Korean vocative truncation in the traditional naming pattern,
31 which is clearly (morpho-)phonological and therefore cannot be relegated to a matter of phonetic
32 implementation. In this sense, we aim to expand the empirical coverage of MOP. Third, our anal-
33 ysis shows that truncation in general may actually follow from one of the fundamental tenets of
34 MOP very naturally, as the effect of information-effort tradeoffs. Message transmission is made
35 more efficient by removing portions that are predictable, and this is precisely what happens in the
36 Korean vocative truncation pattern. Fourth, we aim to integrate our proposal with two other exist-
37 ing proposals, and tentatively propose a theory of “I-map”, in which the rankings of faithfulness
38 constraints are projected from the predictability differences of different contrasts. Although this
39 proposal entails a non-trivial departure from the original MOP framework, it constitutes, we hope,
40 a happy marriage (with a little bit of compromise) between MOP and more formal grammatical
41 theories, such as Optimality Theory (Prince & Smolensky, 2004).

42 Let us expand on the theoretical context of this paper, as well as what this paper intends to add
43 to that theory. The observation that speakers aim for efficient communication is old, and at least
44 goes back to the well-known work by Zipf (1949), who demonstrated that frequent words tend
45 to be short. More recent research has shown that speakers produce linguistic units—segments,
46 syllables or words—longer and more clearly, when they are not predictable; i.e. when they have
47 high surprisal and/or entropy in the sense of Information Theory (Shannon, 1948). On the other
48 hand, predictable linguistic units tend to be reduced. For example, Aylett & Turk (2004, 2006)
49 demonstrate this sort of predictability-driven reduction in English both in terms of duration and
50 vowel quality. Similarly, Cohen-Priva (2015) argues that the duration of [t] in English is shorter
51 when its average predictability (i.e. informativity) is low, and this can ultimately lead to deletion.

¹Hall et al. (2016) refer to the notion of “phonologization” (Hyman, 1977) or “grammaticalization over time” in several places, so in that sense, MOP is intended to be a theory of phonology. However, the term “phonologization” usually comes with the connotation that a pattern under question is divorced from the original phonetic motivation/precursor of that pattern, and hence can become phonetically unnatural; these cases are sometimes referred to as “crazy rules” (see e.g. Bach & Harms 1972; Barnes 2002; Blevins 2004). Applying the same logic, one could argue that once phonologized, a pattern is no longer governed by the principles that MOP embraces—phonology can develop rules that look “crazy” against the tenets of MOP. Therefore, a question remains as to whether the principle of effective communication synchronically and consistently holds at the level of phonology, even after phonologization.

52 Rose et al. (2015) demonstrate that the duration of [s] of the English plural suffix correlates with
53 the extent to which semantic plurality is predictable in that context. See Hall et al. (2016) for a
54 comprehensive overview of related research. However, it is not immediately clear from Hall et al.
55 (2016)—or from what the previous research in this tradition has explored—whether this principle
56 of effective communication holds only at the level of phonetic implementation, or also at the level
57 of phonology (see also footnote 1 for further discussion).

58 This question is important to address for the following reason. Most if not all phonologists
59 distinguish phonology and phonetics as different modules of grammar (see Anderson 1981 and
60 Keating 1988 for classic arguments). One could go so far as to say that phonetics is about perfor-
61 mance, not competence (though see Kingston & Diehl 1994; Keating 1988 for critical discussion
62 of this view). If there is a clear separation between phonology and phonetics, and if the principle of
63 effective communication is solely about phonetics, MOP would not be a theory of phonology. As
64 Shaw (2016) points out, in SPE (Chomsky & Halle, 1968), there is one passage that refers to the
65 effects of (information-theoretic) predictability, and SPE attributes such effects as a performance
66 factor (p.110). Is MOP—or more generally put, the effects of predictability on sound patterns—
67 solely about phonetics, which can arguably be about performance? One reason that makes us think
68 that this is an important question to address is because Chomsky constantly asserts that language
69 is not a tool for communication (e.g. Chomsky 1966)—therefore, in generative linguistics, com-
70 munication is often taken to be a matter of performance (see Piantadosi et al. 2012 for relevant
71 discussion). We suspect that MOP can be viewed by some practicing phonologists as a matter of
72 performance as well, since MOP attempts to derive sound patterns from a principle of effective
73 communication.

74 Proponents of MOP could argue that the distinction between phonology and phonetics is
75 not very clear-cut, or does not even exist (e.g. Browman & Goldstein 1989; Flemming 2001;
76 Steriade 2000), so that MOP is a general theory of phonology after all. However, this debate—
77 how (in)dependent phonetics and phonology are from one another—is highly controversial. There
78 is a possibility that MOP can be dismissed as a theory of phonetics, which has no relevance to
79 phonology, which we think is not desirable. Our aim therefore is to directly address whether the
80 principle of effective communication is operative *at the level of phonology*, regardless of the the-
81 ory of the phonetics-phonology interface that one embraces. To that end, we take an approach that
82 is slightly different from the research that led to the development of MOP; we take the case of
83 a clearly (morpho-)phonological pattern, and show that the principle of effective communication
84 lies behind that pattern. In this respect, we are heavily inspired by Mahowald et al. (2013) and
85 Shaw et al. (2014) who show that predictability plays a fundamental role in shaping compound
86 truncation patterns in English and Chinese, respectively.

87 One extra bonus of this project is that most if not all work related to MOP is based on English

88 and other Indo-European languages. In order for MOP to be a useful framework for phonetic
 89 and phonological analyses in general, it is ideal to conduct case studies in typologically different
 90 languages, such as Korean (see Kawahara 2016 and Shaw & Kawahara 2017 for case studies in
 91 Japanese, and Shaw et al. 2014 for a case study in Chinese)

92 2 The data

93 In the classic, traditional naming pattern, Korean first names consist of two parts: one part is
 94 shared by the same generation of siblings and cousins (henceforth, “the generation marker”), and
 95 the other part is unique to each person (henceforth, “the unique portion”). What makes this dataset
 96 interesting is the fact that the order between these two elements alternates from one generation to
 97 the next (Table 1). In the first generation, for example, the generation marker “hui” [hi] comes at
 98 the end, whereas in the next generation, the generation marker “jae” [tʃe] comes at the beginning.

Table 1: The structure of first names in Korean in the traditional naming patterns. Based on the second author’s family’s names (examples added). The IPA transcriptions are given in [].

Generation I		Generation II		Generation III		...
hong+hui	[hoŋ.hi]	jae+eun	[tʃe.in]	min+su	[min.su]	
dong+hui	[doŋ.hi]	jae+young	[tʃe.jʏŋ]	in+su	[in.su]	
seok+hui	[sɔk.hi]	jae+hun	[tʃe.hun]	hui+su	[hi.su]	
yang+hui	[jaŋ.hi]	jae+hun	[tʃe.ɕun]	...		
ja+hui	[tʃa.hi]	jae+u	[tʃe.u]			

99 What happens in vocative truncation is that the unique part of the name is taken and [(j)a]
 100 is added to it (Table 2).² [j] appears when the unique portion ends with a vowel. The glide
 101 insertion occurs to avoid hiatus (see Jun 2014 for independent evidence that Korean avoids hiatus).
 102 It is unlikely that anybody would argue that the word formation process in Table 2 is a matter of
 103 phonetic implementation, as it is a morphological derivational process.

104 Before moving on to the analysis, let us highlight two aspects of the Korean vocative formation
 105 which shows that the pattern is a grammatical, phonological process, rather than “a non-linguistic
 106 social convention” or “a matter that can somehow be relegated to performance”. First, as shown in
 107 Table 2, vowel sequences are resolved by inserting a glide, [j]. This avoidance of vowel sequences
 108 shows that the truncation pattern is as phonological as other hiatus resolution patterns found in

²Not all contemporary Korean first names consist of a generation marker and a unique portion, especially those in new generations. Hyun-Kyung Hwang (p.c.) reported to us that some Seoul and Busan speakers prefer to take the second morphemes in truncation, when the presence of the generation marker is not apparent. We will come back to this pattern in the discussion section.

Table 2: The vocative forms.

Generation I		Generation II		Generation III		...
hong+a	[hoŋ.a]	eun+a	[in.a]	min+a	[mi.na]	
dong+a	[doŋ.a]	young+a	[jʏŋ.a]	in+a	[i.na]	
seok+a	[syk.ga]	hun+a	[hu.na]	hui+a	[hi.ja]	
ja+ya	[tʃa.ja]	u+a	[u.ja]	...		

109 many different languages (e.g. Casali 1996, see also Jun 2014 for other hiatus resolution patterns
110 within Korean). Second, in this vocative formation pattern, when a Korean speaker meets a new
111 person, and does not know which part of the name is the unique portion, truncation is impossible.
112 This blockage of truncation is very similar to the blockage of derivational morphology found in
113 other languages, sometimes known as “M-Parse Effect” (Prince & Smolensky, 2004). Many in-
114 stances of a similar type of blocking of derivational morphology have been reported, for example,
115 in Rice (2007): Norwegian imperative formation, Turkish suffixation, Swedish neuter adjectives,
116 Hungarian CCik verbs, Mandarin Chinese reduplication, Hebrew plural formation, Tagalog infix-
117 ation, and English schm-reduplication (see Rice & Blaho 2010 for many other cases). In short, the
118 Korean truncation pattern shows two properties—hiatus avoidance and M-Parse effect—that are
119 shared by many other phonological processes.

120 3 Analysis

121 The vocative truncation pattern in Korean in fact very naturally follows from MOP. The intu-
122 itive idea is as follows: since vocative forms are used by family members, the unique portion
123 of the name, rather than the generation marker, is more effective in distinguishing who is being
124 referred to by that particular phonetic signal. As a result, the unique portion is worth investing
125 the resources to produce it, as compared to producing the generation marker. More formally,
126 let $P(\text{message}|\text{signal}, \text{context})$ be the probability of the listener retrieving the correct message
127 given its signal and context. For effective communication, this probability needs to be kept high
128 (Hall et al., 2016). To illustrate, let the context—or more formally, the choice space at the conver-
129 sational setting—be the “hui” generation group in Table 1. Let us further suppose that the intended
130 message is /honghui/. Then:

$$P(/honghui/|/hui/, \text{“hui”}) = 1/5 = 0.2 \quad (1)$$

$$P(/honghui/|/hong/, \text{“hui”}) = 1 \quad (2)$$

132 Since there are five people with “hui” in Table 1, the probability of retrieving the right message

133 (/honghui/) given the signal /hui/ is 1/5 (assuming that each person is called with equal a priori
 134 probability—ultimately, this assumption does not need to hold, as the probability in (2) is always
 135 higher than the probability in (1)). On the other hand, since there is only one person who is denoted
 136 by the signal /hong/, $P(\text{/honghui/}|\text{/hong/}, \text{“hui”})$ is 1.

137 We can also cast the differences in terms of Shannon entropy (Shannon, 1948), which is av-
 138 eraged log predictability. Given the “hui” family, the entropies of the generation markers and the
 139 unique portions can be calculated as follows:³

$$P(\text{generation marker}|\text{“hui”}) = 1$$

$$\text{entropy} = \sum_{x_i \in \text{gen}}^n p(x_i) \times -\log_2 p(x_i) = 1 \times -\log_2(1) = 0 \text{ bits} \quad (3)$$

140

$$P(\text{unique portion}|\text{“hui”}) = 0.2$$

$$\text{entropy} = \sum_{x_i \in \text{unique}}^n p(x_i) \times -\log_2 p(x_i) = \sum_{x_i \in \text{unique}}^n 0.2 \times -\log_2(0.2) = 2.3 \text{ bits} \quad (4)$$

141 This difference in entropy shows that the unique portions resolve more uncertainty in the discourse
 142 than do the generation markers.

143 In short, /hong/ has higher $P(\text{message}|\text{signal}, \text{context})$ and higher entropy than /hui/, which,
 144 we propose, is why it survives truncation in Korean vocative formation.⁴ This analysis is in the
 145 same spirit as the analysis of Chinese compound truncation by Shaw et al. (2014), who show that
 146 what survives in compound truncation is those segments that are less predictable; i.e. those ele-
 147 ments that allow listeners to retrieve what the original words were.

148 This analysis also applies to an observation that holds more generally; namely, that we usually
 149 use our first names rather than last names within a family. Within a family, all members share
 150 the same last name, so the last name is highly predictable. This tendency is again not (solely)
 151 a matter of social convention. In fact, in Icelandic, people use first names everywhere, even in
 152 public phonebooks, because their last names indicate their father’s first name.⁵ Considering the
 153 case of Icelandic, the use of names seems to be governed by the effective communication principle
 154 in general; it is not *a priori* given which part of the name we use in which situation. We instead
 155 use portions of names that are useful in deciphering who is being referred to.

³Again, the calculation in (4) assumes the equal probability of each outcome. This entropy value is the theoretical maximum of entropy, given $N = 5$. If the outcomes are not equiprobable, then the entropy decreases, but it never goes below 0 bits.

⁴One line of research that may be worth pursuing, given the proposed role of $P(\text{message}|\text{signal}, \text{context})$ in phonological patterns, are the effects of ambiguity avoidance (within a morphological paradigm), which in some theories, play a fundamental role in phonological organization (e.g. Flemming 1995; Ito & Mester 2004; Lubowicz 2003; Padgett 2009). Another obvious line of research is to extend our current analysis to other truncation patterns in Korean, and other languages.

⁵See, for example, https://en.wikipedia.org/wiki/Icelandic_name.

156 An anonymous reviewer points out that there is further evidence for this view from Korean.
 157 When there are a few students in the same classroom with the same given name, other stu-
 158 dents often call them with “family name + first syllable of given name”. For example, when
 159 Kim Chaeyeon, Park Chaeyeon, and Song Chaeyeon are in the same class, then they may be
 160 called as “Kimchae”, “Parkchae”, and “Songchae”. This naming convention can be also viewed
 161 as maintaining $P(\text{message}|\text{signal}, \text{context})$ high, because “Chaeyeon” itself does not have high
 162 $P(\text{message}|\text{signal}, \text{context})$ in this situation.

163 Let us now return to MOP. In Hall et al’s (2016), units that are more predictable—in their terms,
 164 those units that cause little information change in a particular context—are predicted to undergo
 165 reduction, whereas those units that are less predictable should be robustly implemented. Consider
 166 their Figure 5, which is reproduced here as Figure 1. ΔH represents “a change in uncertainty”
 167 (measured in terms of Shannon entropy—recall entropy calculation is averaged log predictability,
 168 as exemplified in (3)). The y-axis represents “resource cost”, which would influence how the
 169 element under question would be implemented phonologically and phonetically. The generation
 170 marker has small ΔH , and should hence reduce. The unique portion has large ΔH , and hence
 171 should remain stable.

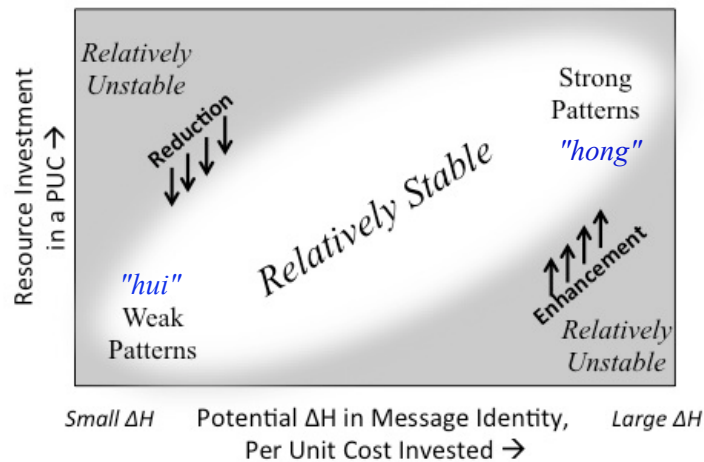


Figure 1: The predicted relationships between the predictability of a phonological unit and its phonological/phonetic behavior (manifested through “cost investment”). Taken from Hall et al. (2016), their Figure 5. The locations of “hong” and “hui” are added by us.

172 Therefore, the Korean vocative truncation pattern is exactly what is predicted under MOP—
 173 those elements that are predictable (/hui/ in equation (3))—should undergo deletion, which is the
 174 extreme form of reduction. In other words, /hui/ is not worth “the cost” to produce,⁶ given that the

⁶One outstanding challenge to the current theorization of MOP is how to define the “cost”, which is extremely hard to define. What is nice about Korean vocative truncation is that MOP predicts deletion no matter how we define

175 context makes /hui/ predictable. For related observations, see Mahowald et al. (2013) for the ob-
176 servation that given a pair like *math* and *mathematics*, a shorter form is used in a more predictable
177 context, and see Kurumada & Jaeger (2015) who show that case marker drop in Japanese is more
178 likely to be observed in more predictable contexts.

179 Let us now briefly compare MOP and Optimality Theory (OT: Prince & Smolensky 2004) in
180 how they account for truncation patterns (see also Blevins 2005 for a related comparison). In OT,
181 truncation occurs in order to satisfy a prosodic templatic markedness requirement (McCarthy & Prince,
182 1993, 1994, 1995); i.e. it is the emergence of the unmarked. In our view, in MOP, truncation occurs
183 when some portions are not worth producing, given the predictability of those elements in convey-
184 ing a particular message. OT remains silent about which circumstances truncation occurs. For
185 example, OT provides no answers as to why truncation is so common in nickname formation—this
186 sort of question is probably considered to be a matter of performance in OT. MOP, on the other
187 hand, can attribute deletion to the high predictability of the deleted portions, given a particular
188 context (see again Mahowald et al. 2013 for relevant discussion).

189 However, one difference between MOP and OT is that MOP may not straightforwardly ex-
190 plain why the outcome of truncation is usually prosodically defined (e.g. “one heavy syllable” or
191 “two moras”: McCarthy & Prince 1986, though see Gafos 1998). An anonymous reviewer (p.c.)
192 pointed out that it can be the case that prosodically-defined outcomes of prosodic morphological
193 patterns can be “the most expected or predicted shape” in that particular morphological context
194 of that language. The most predicted shapes require *least effort*, which the anonymous reviewer
195 proposes to define “in terms of the greater/less facility that a speaker has in producing a familiar
196 and less familiar structure”. This is an empirically testable prediction of MOP; quantitatively test-
197 ing whether the outcomes of prosodic morphology can indeed be defined as “the most predicted
198 shape” (either defined segmentally or prosodically) would be an important to topic for a future
199 research in MOP.

200 Finally, we would like to entertain one possible alternative analysis of the Korean vocative
201 formation. One could argue that the unique portions of the names are *morphological heads*, and
202 hence survive truncation (see Revithiadou 1999 for head-specific faithfulness constraints). As far
203 as we are aware of, there is no independent evidence that the unique portions of the Korean first
204 names are the morphological heads, and the generation markers are non-heads (recall that the linear
205 order between the unique portion and the generation marker alternates between generations). One
206 could argue that the unique portions are morphological heads, because they are more “content-full”

the cost, because the generation markers are perfectly predictable and hence carry zero information (= 0 bit entropy). However, this analysis raises a non-trivial question as well: why is it that languages do not delete every segment after the “uniqueness point”, where the target word is distinguished from others? As Shannon (1948) shows, some redundancy is necessary for effective message transfer given a noisy channel; however, in the case of natural languages, how should we quantify this “necessary redundancy” in the context of language? In the words of Pierce (1980), what is “the right sort of redundancy”? See Hall et al. 2016, section 4.1 for relevant discussion.

207 or “conveys more meaning”—however, that postulation is very similar to our proposal. Ultimately,
 208 this theory admits that what lies behind the survival of truncation is predictability.

209 4 Faithfulness and predictability: I-map

210 In this final section, we would like to entertain the possibility of combining the insights of MOP
 211 and a more formal framework of phonology, like Optimality Theory (Prince & Smolensky, 2004).⁷
 212 The fundamental observation of this paper is that units with higher information—which can be
 213 defined in terms of Shannon entropy—are less likely to delete. In Optimality Theory, this obser-
 214 vation can be expressed as a ranking relationship of the anti-deletion faithfulness constraint MAX
 215 (McCarthy & Prince, 1995): MAX(high entropy) \gg MAX(low entropy) (see Cohen-Priva 2015 for
 216 a similar proposal).

217 In order to illustrate how this ranking helps to model the Korean truncation pattern, let us
 218 assume that there is a general prosodic requirement that vocative forms be disyllabic, a requirement
 219 which we express as DISYLL. Since the vocative suffix [(j)a] always surfaces, we use a constraint
 220 REALIZEMORPHEME (RM) that requires this morpheme to receive some phonological exponent
 221 (Kurisu 2001). These two constraints coerce truncation. What survives in truncation is determined
 222 by the constraint ranking between two MAX constraints, MAX(UNIQUE) \gg MAX(GENMARK),
 223 whose ranking is determined by their entropy differences.

Table 3: An OT analysis of the Korean truncation pattern

/hong-hui-a/	DISYLL	RM	MAX(UNIQUE)	MAX(GENMARK)
(a) [hong-hui-a]	*!			
(b) [hong-hui]		*!		
(c) [hui-a]			*!	
(d) \rightarrow [hong-a]				*

224 To generalize this analysis, there are two other proposals/observations in which the effects of
 225 informativity can be captured as the ranking of faithfulness constraints, dictated by predictability
 226 differences. First, Hume & Mailhot (2013) argue that the vowels that are inserted as epenthetic
 227 vowels in English and French are those that have lowest entropy, which can be expressed as
 228 DEP(high entropy) \gg DEP(low entropy).

⁷This combination entails a non-trivial departure from the original formulation of MOP, in which phonology is shaped by pressures on “meaning-bearing units”, whereas OT is “sound-centric”. We are not ready to reconcile this challenge, but as we argue in this section, we believe that there are merits in combining a formalistic framework like OT and insights of MOP.

Also, our proposal here can be implemented in other related constraint-based theories of phonology, as long as they acknowledge the existence of faithfulness constraints in their model. Faithfulness constraints are those that prohibit change from one level of representation to another level of representation.

229 Second, Kawahara (2016) argues that Japanese has the ranking IDENT(VOICE)(high entropy)
 230 \gg IDENT(VOICE)(low entropy). In Japanese loanwords, voiced obstruents can devoice when there
 231 is another voiced obstruent, whereas singleton obstruents cannot, as shown in (1) (Kawahara, 2006,
 232 2015). This difference in devoicability shows that Japanese has the ranking IDENT(VOI-SING) \gg
 233 IDENT(VOI-GEM).

- 234 (1) Patterns of devoicing in Japanese loanwords
- 235 a. /beddo/ → [betto] ‘bed’; /doggu/ → [dokku] ‘dog’
- 236 b. /bado/ → *[bato] ‘badominton’; /bagu/ → *[baku] ‘bug’

237 Kawahara (2016) furthermore shows that in the Japanese lexicon, the voicing contrast in singletons
 238 is much more informative than the voicing contrast in geminates; for example, the entropy for
 239 the contrast between [t] and [d] is 0.93 bits, whereas the entropy for the contrast between [tt]
 240 and [dd] is only 0.06 bits (the frequency calculations are based on the Corpus of Spontaneous
 241 Japanese: Maekawa 2003). Kawahara (2016) therefore argues that this difference in entropy may
 242 be responsible for the ranking in Japanese IDENT(VOI-SING) \gg IDENT(VOI-GEM) (see Rice 2006
 243 for a similar idea).

Table 4: An OT analysis of the Japanese devoicing pattern

/bado/	IDENT(VOI-SING) (= high entropy)	OCP(voi)	IDENT(VOI-GEM) (= low entropy)
→ (a) [bado]		*	
(b) [bato]	*!		
/beddo/	IDENT(VOI-SING)	OCP(voi)	IDENT(VOI-GEM)
(a) [beddo]		*	
→ (b) [betto]			*

244 Therefore, for the three types of phonological patterns reviewed here (deletion, epenthesis,
 245 and featural neutralization), we observe a consistent pattern in which those units with lower en-
 246 tropy undergo phonological changes. Schematically, we can thus formalize this observation as:
 247 FAITH(contrast A) \gg FAITH(contrast B) if Entropy(contrast A) > Entropy(contrast B). This for-
 248 malization predicts that a contrast that has higher entropy is less likely to be neutralized. This
 249 formalization is inspired by the “P-map” theory of Steriade (2001/2008), in which changes that
 250 involve smaller perceptual changes—arguably defined by language-specific phonetic implementa-
 251 tion patterns (Kawahara, 2006)—are more likely to occur. Hence we call this hypothesis “I-map”
 252 (for “Information-map”).

253 Teasing apart the P-map theory and I-map theory is not as easy as it first may appear, because
 254 according to Hall (2009), a contrast that is less informative is perceived to be more similar (see

255 also Boomershine et al. 2008; Hume & Johnson 2003 for similar results). Therefore, it could be
256 the case that a contrast that differentiates a small number of items is perceived to be similar, which
257 eventually affects the P-map of a particular language; i.e. it could be that I-map influences P-map,
258 which in turn affects phonological grammars by dictating the ranking of faithfulness constraints.

259 Although fully developing the idea of I-map, and considering its interaction with P-map, is
260 beyond the scope of this paper, we believe that combining MOP and OT, or other related formal
261 theories, in this way seems promising (cf. “Neo-Founded Phonology”: Barnes 2002). One rea-
262 son that makes us believe so is the observation that there are likely to be phonological principles
263 that cannot be reduced to effective communication; e.g. templatic effects discussed in section
264 3.⁸ Another empirical advantage of using a formal model with violable constraints has been of-
265 fered by Kawahara (2016): we need to account for the fact that Japanese geminate devoicing is
266 not context-free. Geminate devoicing can occur in response to OCP(voice)—prohibition against
267 two voiced obstruents within the same morpheme (Ito & Mester, 1986), but not in response to a
268 context-free prohibition against voiced geminates (e.g. /beddo/ → [betto] ‘bed’; but /heddo/ →
269 *[hetto] ‘head’). In other words, just because a voicing contrast is highly predictable in gemi-
270 nates, it does not mean that it is neutralized everywhere—a grammatical pressure like OCP(voice)
271 is necessary to cause devoicing. OT with rankable constraints is well-suited to model this sort of
272 interaction.

273 Third, it is sometimes the case that the predictability consideration can be overridden. Hyun-
274 Kyung Hwang (p.c.) informed us that one Busan speaker told her that her relatives always took
275 the last syllable of a first name regardless of the position of a generation marker, even when all her
276 relatives are in the same place. In this case, the pressure to preserve portions with higher entropy
277 can potentially be overridden by the requirement to keep the last syllable. OT, with rankable
278 constraints, is suited to model this sort of language/dialectal variation.

279 Fourth, phonological patterns are stable; e.g., we do not change our phonology in response to
280 noise that exists between the speaker and the hearer (see also Barnes 2002). Let us take the case of
281 assimilation, as discussed in Hall et al. (2016) (section 5.1.3.), which should increase redundancy
282 of the trigger. We know of no languages in which assimilation occurs only in noisy environments—
283 if a pressure on message transmission directly dictates phonological patterns, it predicts that there
284 could be suchs phonological patterns, because in noisy environments, the redundancy of the trigger
285 may need to be increased. Nor are we aware of a language in which assimilation stops occurring in
286 extremely quiet environments—assimilation which is often deployed to increase redundancy can
287 be deemed unnecessary when the channel is not noisy. One could postulate that a pressure on effi-

⁸In formulating Uniform Density Hypothesis, which is related to MOP, Jaeger (2010) starts with a conditional phrase, “Within the bounds defined by grammar” (p.25). In this theory, therefore, grammar first provides choice space, and informativity allows speakers to choose from the choices provided by the grammar. In this view, then, grammar and the effects of informativity are separate forces that shape our linguistic patterns.

288 cient message transmission works on phonetic implementation patterns, and that phonetic patterns
289 need to be *phonologized* after these phonetic patterns recur certain times. This postulation amounts
290 to saying, however, that phonology itself is not shaped by pressures on message transmission. This
291 conclusion, however is not compatible with the general conclusion of this paper.

292 **5 Summary**

293 To summarize, Message-Oriented Phonology (MOP) capitalizes on the role of effective communi-
294 cation in shaping sound patterns. For successful communication, it is important that the speaker's
295 intention is conveyed accurately to the listener, and also that predictable portions are reduced. We
296 analyzed the Korean vocative truncation patterns from this perspective in this paper, and showed
297 that the pattern follows naturally from MOP. To the extent that our analysis is successful, it pro-
298 vides support to MOP from a morphophonological perspective (a la Shaw et al. 2014). We also
299 suggested that it may be possible—or even desirable—to combine MOP with a more formalistic
300 framework like OT, which seems promising on several grounds.

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